

## Tax Information Addendum Individuals

Submit the completed form and supporting documents to:

E-mail [UTinstructions@satrixsupport.co.za](mailto:UTinstructions@satrixsupport.co.za)

Fax 011 263 6155

If you have any questions, contact us on:

Tel 0860 111 401

E-mail [unitrusts@satrix.co.za](mailto:unitrusts@satrix.co.za)

### Important information

- Please read all the information in this form carefully and ensure that you understand it.
- Any writing / instruction outside of the allocated fields will not be processed.
- We require this information in order to fulfil our obligations to the South African Revenue Services (SARS) for FATCA (Foreign Account Tax Compliance Act) and CRS (Common Reporting Standards) reporting under agreements for the Automatic Exchange of Information relating to tax residency.
- The Multilateral Competent Authority Agreements signed between the Government of South Africa and other countries were designed to improve international tax compliance.
- Sanlam and all its group companies are thus required to collect information from each client to ensure that their identification and classification is correct according to the tax requirements. We will report on clients' tax status to SARS.
- If you need assistance filling in this tax information, please contact your tax adviser.

### 1. Particulars of Investor

Investor code: \_\_\_\_\_

Title \_\_\_\_\_

Full name(s) \_\_\_\_\_

Surname \_\_\_\_\_

Date of birth \_\_\_\_\_ (ddmmccyy)

SA Identity number \_\_\_\_\_ Nationality \_\_\_\_\_

Passport number \_\_\_\_\_ Passport expiry date \_\_\_\_\_ (ddmmccyy)

Country of birth \_\_\_\_\_ Passport country of issue \_\_\_\_\_

Please specify any other nationality / citizenship \_\_\_\_\_

Primary country of residence for tax purposes \_\_\_\_\_

Tax Identification Number \_\_\_\_\_

Are you a registered tax payer of any country other than your primary country of residence? ☐ Yes ☐ No

If yes, please complete the information below for each country of tax residency.

Country of tax residence	Tax Identification Number	OR	Not applicable
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

**By ticking "Not Applicable", you confirm that the country specified does not issue a Tax Identification number.**  
**If you are a USA citizen, you are resident for tax purposes in the USA.**

**2. Declaration by investor / Authorised representative**

- I / We certify that the information provided about my / our country of citizenship and country of tax residence is correct.
- I / We will notify Satrix Managers (RF) (Pty) Ltd immediately if my / our tax residency for Foreign Account Tax Compliance Act ('FATCA') or equivalent classification changes or if there are any changes in circumstances that may impact on my / our tax residency status and / or FATCA classification.

Signature of investor \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory(ies) acting on behalf of the investor (e.g. parents / guardians of a minor and all persons authorised to act on behalf of the investor). Please refer to *Annexure A* for additional information required for each authorised signatory acting on behalf of the investor.

SATRIX Managers (RF) (Pty) Ltd is an authorised financial services provider (FSP No. 15658) and a registered and approved Manager in Collective Investment Schemes in Securities. Collective investment schemes are generally medium- to long-term investments. Past performance is not necessarily a guide to future performance, and that the value of investments / units / unit trusts may go down as well as up. A schedule of fees and charges and maximum commissions is available from the Manager on request. Collective investments are traded at ruling prices and can engage in borrowing and scrip lending. The Manager does not provide any guarantee either with respect to the capital or the return of a portfolio. The manager has the right to close the portfolio to new investors in order to manage it more efficiently in accordance with its mandate.

## Annexure A

FICA - Additional information required

### Important information

Each person acting on behalf of the investor will need to complete the sections below. In the event that more than one person is authorised to act on behalf of the investor, copies of this section can be made as required and must accompany the fully completed application form.

The information required is **mandatory** to meet legislative requirements and therefore the application will not be processed without receiving the additional information for each applicable party.

### Particulars of Person acting on behalf of Investor

Title	<hr/>		
Full name(s)	<hr/>		
Surname	<hr/>		
Date of birth	<hr/> (ddmmccyy)	Country of birth	<hr/>
SA Identity number	<hr/>	Nationality	<hr/>
Passport number	<hr/>	Passport expiry date	<hr/> (ddmmccyy)
Passport Country of issue	<hr/>		
Occupation	<hr/>		
<b>Address details:</b>			
Residential address	<hr/>		
	<hr/>		
Country	<hr/>	Postal code	<hr/>
Postal address	<hr/>		
	<hr/>		
Country	<hr/>	Postal code	<hr/>
Telephone (home)	<hr/> ( ) ( ) <small>+27 21 555 5555</small>	Work	<hr/> ( ) ( ) <small>+27 21 555 5555</small>
Cell phone	<hr/> ( ) ( ) <small>+27 21 555 5555</small>	Fax	<hr/> ( ) ( ) <small>+27 21 555 5555</small>
E-mail address	<hr/>		

**Tax status**

Primary country of residence for tax purposes \_\_\_\_\_

Tax Identification Number \_\_\_\_\_

Are you a registered taxpayer of any country other than your primary country of residence? ☐ Yes ☐ No*If yes, please complete the information below for each country of tax residency.*

Country of tax residence	Tax Identification Number	OR	Not applicable
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

***By ticking "Not Applicable", you confirm that the country specified does not issue a Tax Identification number.  
If you are a USA citizen, you are resident for tax purposes in the USA.***

**I certify that the information I have provided above is true and correct.**

\*Authorised signatory \_\_\_\_\_ Date signed \_\_\_\_\_ (ddmmccyy)

\*Authorised signatory acting on behalf of the investor